



DAC_ ZFOI

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jack HSIEH, et al.

) Group: 2871

Serial No.: 10/010,519

) Examiner: not yet assigned

Filed: November 12, 2001

) Our Ref: B-4376 619288-9

(as amended)

(as amended)

For: "METHOD OF MANUFACTURING A

LIQUID CRYSTAL DISPLAY PANEL"

) Date: April 24, 2002

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231

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Sir:

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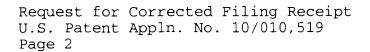
REQUEST FOR CORRECTED RECEIPT

RE: FILING DATE

The subject application was submitted pursuant to the Express Mail Procedure of 37 CFR 1.10. The application was deposited with the United States Postal Service (USPS) in an Express Mail envelope with sufficient postage for Express Mailing on November 12, 2001 pursuant to 37 CFR 1.10, but the returned postcard and official filing receipt (copies enclosed) indicate that the United States Patent and Trademark Office (USPTO) considered the filing date to be November 13, 2001.

Enclosed is a copy of the new application transmittal letter from our files. The new application transmittal letter contains a Certification of Express Mailing Date under 37 CFR 1.10 and proper reference to Express Mail Label Number EL896635132US as required by Section 1.10. This information is also contained in the files of the United States Patent and Trademark Office.

Cheryl Liang, the person mailing the papers pursuant to 37 CFR 1.10, has signed a verified statement that the new application was deposited with the USPS in an Express Mail envelope with sufficient postage for Express Mailing on November 12, 2001. The signed verified statement signed by Cheryl Liang is attached.



Also enclosed is a copy of a letter from Michael C. Coleman, a manager of the USPS, indicating that November 12, 2001 is the correct "sign in" date. Attached to the letter are copies of the Express Mail Label Number EL896635132US returned from the USPS and PS Form 5541. It should be noted that the "sign in" clerk at the USPS inadvertently indicated the "date in" to be November 13, 2001, instead of November 12, 2001. However, it is apparent from the enclosed copy of the letter from USPS manager Michael C. Coleman and the enclosed PS Form 5541 that November 12, 2001 is the correct "sign in" date.

Applicant has complied with the requirements of 37 CFR 1.10 and is entitled to a filing date of November 12, 2001. Therefore, please update all of the records in the USPTO to indicate that the filing date of the above-identified application is November 12, 2001; and please advise us in writing that the USPTO considers

November 12, 2001

to be the filing date of the above-identified U.S. application.

The Commissioner is authorized to charge any additional fees which may be required or credit overpayment to deposit account no. 12-0415.

Respectfully submitted

Richard P. Berg Reg. No. 28,145

Attorney for Applicant

LADAS & PARRY

5670 Wilshire Boulevard, #2100 Los Angeles, California 90036

(323) 934-2300

Request for Corrected Filing Receipt U.S. Patent Appln. No. 10/010,519 Page 3

Enclosures: copy of returned postcard

copy of official filing receipt

copy of new application transmittal letter Verified statement signed by Cheryl Liang

copy of the letter from the Express Mail clerk of the USPS with copy of Express Mail label and copy of Pickup Service Statement PS Form 5541 returned

from the USPS

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as firstclass mail in an envelope addressed to:

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231, on April 24, 2002

Bv:

Suzanna Johnston

Our reference: USPD (cl) To: FROM: COMMISSIONER OF THE PATENTS B-4376 619288-9 THE PATENT AND TRADEMARK OFFICE MAIL ROOM STAMP HEREON ACKNOWLEDGES Date mailed: November 12. 200 RECEIPT OF: New U.S. Patent Appln., consisting of Transmittal letter with Certification under 37 CFR 1.10 (3 pages); check no. 14159 in the amount of \$740.00; Express Mail No.: EL896635132US 9 pages of Specification, 4 pages of Claims, 1 page of Abstract; Declaration/Power (4 pages); 15 sheets of formal drawings: (Figs. 1-2, 3A-3F, 4A-4F, 5A-5D, 6A-6C, 7A-7N, 8A-8D, 9, 10A-10B); Claim to Priority (1 page); Assignment (1 page) with cover sheet (1 page); check no. 14160 in the amount of \$40.00;

IN CONNECTION WITH: Jack #SIEH, et al.

and this postcard.

FOR: "METHOD OF MANUFACTURING A LIQUID CRYSTAL DISPLAY PANEL"

STAMP

JC971 U.S. PTO 10/010519

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UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING DATE

GRP ART UNIT

FIL FEE REC'D

ATTY.DOCKET.NO

DRAWINGS

IND CLAIMS TOT CLAIMS

10/010.519

12/2001

2871

740

B-4376 619288-9

15

18

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CONFIRMATION NO. 8190

Richard P. Berg, Esq. c/o LADAS & PARRY **Suite 2100** 5670 Wilshire Boulevard Los Angeles, CA 90036-5679 LADAS & PARRY MAR 15 2002

FILING RECEIPT OC000000007307197

Date Mailed: 01/14/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE. NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jack Hsieh, Shulin City, TAIWAN; Sakae Tanaka, Taoyuan Hsien, TAIWAN:

Assignment For Published Patent Application

· Hannstar Display Corp.:

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Domestic Priority data as claimed by applicant

Foreign Applications

TAIWAN 90111692 05/16/2001

If Required, Foreign Filing License Granted 01/14/2002

Projected Publication Date: 11/21/2002

Non-Publication Request: No

Early Publication Request: No

libert

Title

Method of Canufacturing a liquid crystal display panel

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LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

ORIGINALLY FILED

GRANTED

Preliminary Class

349

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

6. Small Entity St ment
[] Verified statement that this is a filing by a small entity under 37 CFR 1.9 and 1.27.
Filing Fee Calculation (50% of above) \$
NOTE: If a verified statement is filed within 2 months of the date of payment of first fee then the excess fee paid will be refunded on request. Notice of January 20, 1983. 1027 TMOG 114.
7. Fee Payment Being Made At This Time
[] Not Enclosed
[] No filing fee is submitted. This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently.
NOTE: Where the filing is a completion in the U.S. of an international application the fee <u>must</u> be paid.
[X] Enclosed
[X] filing fee \$_740.00_
[] recording assignment
[] petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached. 37 CFR 1.47 and 1.17 (h) \$
Total fees enclosed \$ 740.00
8. Method of Payment of Fees
[X] check in the amount of \$ 740.00
[] charge account No. $\underline{12-0415}$ in the amount of $\$$ A duplicate of this transmittal is attached.
NOTE: Fees should be itemized is such a manner that it is clear for which purpose the fees are paid. 37 CFR 1.22(b).
9. Authorization to Charge Additional fees
[X] The Commissioner is hereby authorized to charge the following additional fees which may be required to Account No. $12-0415$:
[X] 37 CFR 1.16 (filing fees and presentation of extra claims)
[X] 37 CFR 1.17 (application processing fees)
[] 37 CFR 1.18 (issue fee at or before Mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b))
NOTE: 37 CFR 1.28(b) requires "Notification of any change in loss of entitlement to small entity status must be filed in the applicationprior to paying issue fee".
10. <u>Instructions As To Overpayment</u>
[X] Credit Account No. 12-0415 [] refund
c/o LADAS & PARRY 5670 Wilshire Boulevard Suite 2100 Los Angeles, California 90036-5679 Richard P. Berg

Telephone: (323) 934-2300 Telefax: (323) 934-0202 Attorney Reg. No. 28,145

2. Declaration or (in

[X] Enclosed

[X] original

[] facsimile

executed by:

- [X] inventor(s)
- [] legal representative of inventor(s) 37 CFR 1.42 or 1.43
- [] joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached. 37 CFR 1.47.
- [] petition and statement required by 37 CFR 1.47 also attached. See item 7 below for fee.

[] Not Enclosed

- [] Application is made by a person authorized under 37 CFR 1.41(c) on behalf of all of the above named inventor(s). The declaration or oath, along with the surcharge required by 37 CFR 1.16(e) can be filed subsequently.
- [] Showing that the filing is authorized. (Not required unless called into question. 37 CFR 1.41(d)).

NOTE: Where the filing is a completion in the U.S. of an international application under 35 U.S.C. 371(c)(4) then the declaration <u>must</u> be filed.

3. Assignment

[X] An assignment of the invention to

Hannstar Display Corp.
(with separate cover sheet and separate check for \$40.00)

4. Certified Copy

[] A certified copy of Application No. from which priority is claimed.

filed on

NOTE: Must be referred to in oath or declaration. 37 CFR 1.55 and 163.

5. Fee Calculation

	CLAIMS	AS	FILED		
Number Filed			Number Extra	Rate	Basic Fee \$ 740.00
Total Claims	18-20=		0 x	\$ 18.00	0
Independent Claims	1-3=		0 x	\$ 84.00	0
Multiple Dependent Cla	im(s), if any		0 x	\$ 280.00	0

j	Amendment	canceling	extra	claims	enclosed
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[] Amendment deleting multiple dependencies enclosed

[] Fee for extra claims is not being paid at this time

NOTE: If the fee for extra claims are not paid on filing they must be paid or the claims canceled by amendment, prior to the expiration of the time period set for response by the Patent and Trademark Office in any notice of fee deficiency, $37\ \text{CFR}\ 1.16\,(d)$.

Filing Fee Calculation \$ 740.00

Date: November 12. 2001

Commissioner of Patents and Trademarks
Box New Patent Application
Washington 20231

Washington, 20231

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MAY 0 8 2002

OFFICE OF PETITIONS

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s):

- (1) Jack HSIEH
- (2) Sakae TANAKA

NOTE:

Patent must be applied for in the name of all

of the actual inventor or inventors.

For:

"METHOD OF MANUFACTURING A LIQUID CRYSTAL DISPLAY

PANEL"

Enclosed are:

1. The Papers Required For Filing Date Under 37 CFR 1.53(b):

 $\underline{9}$ Pages of specification $\underline{1}$ Page of abstract $\underline{4}$ Pages of claims

15 Sheets of drawings [X] formal [] informal (Figs. 1-2, 3A-3F, 4A-4F, 5A-5D, 6A-6C, 7A-7N, 8A-8D, 9, 10A-10B)

[X] Ih addition to the above papers there is also attached

Postcard
Check for filing fee in the amount of \$740.00
Declaration/Power of Attorney (4 pages)
Claim to Priority (1 page)
Assignment Cover Sheet (1 page), Assignment document (1 page),
and Check for \$40.00

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this paper and the documents referred to as enclosed therein are being deposited with the United States Postal Service in an Express Mail envelope with sufficient postage for Express Mailing on this date November:12::2001; in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number: EL896635132US addressed to the:

Commissioner of Patents and Trademarks Box New Patent Application Washington, D.C. 20231

Cheryl Liang

(Typed or printed name of person mailing paper)

(Signature of person mailing paper)

NOTE: <u>Each</u> paper or fee referred to as enclosed herein has the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 CFR 1.10(b).

IN THE UNITED STATES PARENT AND TRADEMARK OFFICE

MAY 0 3 2002

Applicant(s): Jack HSIEH / et al.

Serial No.: 10/010,519

Filed: November 12, 2001

(as amended)

For: "METHOD OF MANUFACTURING A

LIQUID CRYSTAL DISPLAY PANEL"

Group: 2871

Examiner: not yet assigned

Our Ref: B-4376 619288-9

) Date: April 24, 2002

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231

Sir:

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VERIFICATION

I am the person who mailed the above-identified application pursuant to 37 CFR. 1.10 on November 12, 2001; and I verify that the subject application with all of the items required under 35 U.S.C. 371 were deposited with the United States Postal Service in an Express Mail envelope with sufficient postage for Express Mailing on November 12, 2001.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United Stated Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: April 24, 2002

Cheryl Liang



April 22, 2002

Commissioner of Patents & Trademarks Box New Patent Applications Washington D.C. 20231

To Whom It May Concern:

On November 12, 2001, we the U.S. Postal Service picked up Express Mail Item # EL89635132US from our customer, Ladas & Perry. Regrettably, we mishandled this item and it entered our mailstream on November 13, 2001 a complete day later. Clearly the U.S. Postal Service is responsible for this unfortunate incident, not the sender.

Please accept this letter and copy of the Pickup Service Statement, PS Form 5541, as irrefutable documentation that our customer mailed this item on **November 12, 200**1.

Sincerely,

Michael C. Coleman

Manager Customer Services

Bicentennial Station

Los Angeles, CA 90048-9998

cc: file



OIPE currently issues a filing receipt at the time an application is accorded a filing date, and issues an additional filing receipt, without charge, if the filing fee and/or oath or declaration are submitted after the filing date of the application. The PTO also issues a corrected filing receipt, without charge (regardless of fault), when correction of a filing receipt is requested by the applicant.

OIPE has recently taken steps to expedite the process of handling requests for corrected filing receipts. Previously, it was necessary to locate and retrieve the application file in order to make corrections. The new process involves viewing a scanned image of the application documents, as filed, thereby eliminating the need to retrieve the application file. If desired corrections and/or changes to a filing receipt are based on information that was not present in, or has changed from, the application, as filed, applicant should submit supporting documents (e.g., the executed declaration) and a marked-up copy of the filing receipt along with the request for corrections. Whenever possible, applicants should submit requests for corrected filing receipts along with the reply to an OIPE notice since an additional filing receipt is automatically generated when the reply is processed.

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